



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of)	MAIL STOP IDS
John E. Roskey)	
Application No.: 10/619,732)	Group Art Unit: 2834
Filed: July 14, 2003)	Examiner: Unassigned
For: SYSTEM AND METHOD FOR)	Confirmation No.: 7024
CONVERTING WIND INTO)	
MECHANICAL ENERGY)	
)	
)	
)	
)	

**FIRST INFORMATION DISCLOSURE STATEMENT
TRANSMITTAL LETTER**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Enclosed is an Information Disclosure Statement and accompanying form PTO-1449 for the above-identified patent application.

- ☒ [X] No additional fee for submission of an IDS is required.
- ☐ [] The fee of \$180.00 (1806) as set forth in 37 C.F.R. § 1.17(p) is also enclosed.
- ☐ [] A statement under 37 C.F.R. § 1.97(e) is also enclosed.
- ☐ [] A statement under 37 C.F.R. § 1.97(e), and the fee of \$180.00 (1806) as set forth in 37 C.F.R. § 1.17(p) are also enclosed.
- ☐ [] Charge \$_____ to Deposit Account No. 02-4800 for the fee due.
- ☐ [] A check in the amount of \$_____ is enclosed for the fee due.

The Director is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

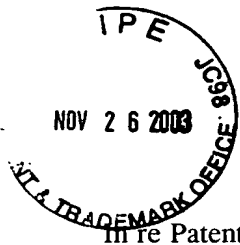
Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

Date: November 26, 2003

By: 76 m. [Signature]
Kirk M. Nuzum
Registration No. 38,983

P.O. Box 1404
Alexandria, Virginia 22313-1404
(650) 622-2300



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of) **MAIL STOP IDS**
)
John E. Roskey) Group Art Unit: 2834
)
Application No.: 10/619,732) Examiner: Unassigned
)
Filed: July 14, 2003) Confirmation No.: 7024
)
For: SYSTEM AND METHOD FOR)
CONVERTING WIND INTO)
MECHANICAL ENERGY)
)

**FIRST
INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, Applicant hereby submits the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98.

U.S. Patent Documents

<u>U.S. Patent No.</u>	<u>Patentee or Applicant</u>	<u>Issue/Publication Date</u>
3,883,750	Uzzell, Jr.	05/1975
3,913,470	Cullen	10/1975
3,976,244	Logsdon	08/1976
4,002,023	Hartman	01/1977
4,162,410	Amick	07/1979
4,295,416	Gorchev et al.	10/1981
4,315,415	Wilson	02/1982
4,504,192	Byrus et al.	03/1985
4,508,973	Payne	04/1985
4,546,264	Pinson	10/1985
4,582,013	Holland, Jr.	04/1986
4,642,958	Pewitt	02/1987
4,781,523	Aylor	11/1988
4,801,811	Assaf et al.	01/1989
4,909,135	Greko	03/1990
4,957,037	Tubbesing et al.	09/1990
5,155,992	Follensbee et al.	10/1992

<u>U.S. Patent No.</u>	<u>Patentee or Applicant</u>	<u>Issue/Publication Date</u>
5,195,251	Gyurcsek et al.	03/1993
5,290,200	Kiser	03/1994
5,326,314	Brockway et al.	07/1994
5,380,149	Valsamidis	01/1995
5,391,926	Staley et al.	02/1995
5,457,346	Blumberg et al.	10/1995
5,551,916	Morse, Jr.	09/1996
5,655,964	Rheault et al.	08/1997
5,709,419	Roskey	01/1998
5,711,480	Zepke et al.	01/1998
5,746,653	Palmer et al.	05/1998
5,826,383	Garrison	10/1998
5,860,858	Wettergren	01/1999
6,149,516	Mantyla	11/2000
6,239,506	Roskey	05/2001
6,319,115	Shingaki	11/2001
6,437,457	Roskey	08/2002

Foreign Patent Documents

<u>Document No.</u>	<u>Country</u>	<u>Date of Publication</u>
4 002 341	DE	08/1990
450 138	FR	03/1913
1 195 450	FR	11/1959
2 379 709	FR	10/1978

Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited is enclosed. However, copies of the listed U.S. patents and U.S. patent application publications are not enclosed since it is no longer required according to the July 11, 2003 waiver of the requirement for copies of cited U.S. patents and U.S. patent application publications in national patent applications filed after June 30, 2003 and international applications entering the national stage under 35 U.S.C. § 371 after June 30, 2003.

The documents are being submitted within three (3) months of the filing or entry of the national stage of this application or before the first Office Action on the merits, whichever is later. Since documents are being filed within the time period set forth in 37 C.F.R. § 1.97(b) no fee or statement is required.

To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner-initialed copy of this form be returned to the undersigned.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

Date: November 26, 2003

By: 76m. 2
Kirk M. Nuzum
Registration No. 38,983

P.O. Box 1404
Alexandria, Virginia 22313-1404
(650) 622-2300



Substitute for forms 1449A/PTO & 1449B/PTO

**FIRST INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**ATTORNEY'S DKT NO.
023279-006APPLICATION NO.
10/619,732APPLICANT
John E. RoskeyFILING DATE
July 14, 2003GROUP
2834**U.S. PATENT DOCUMENTS**

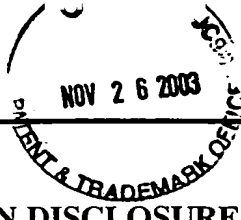
Examiner Initials	Document Number	Kind Code (if known)	Name of Patentee or Applicant of Cited Document	Issue/Publication Date (MM-DD-YYYY)
	3883750		Uzzell, Jr.	05/1975
	3913470		Cullen	10/1975
	3976244		Logsdon	08/1976
	4002023		Hartman	01/1977
	4162410		Amick	07/1979
	4295416		Gorchev et al.	10/1981
	4315415		Wilson	02/1982
	4504192		Byrus et al.	03/1985
	4508973		Payne	04/1985
	4546264		Pinson	10/1985
	4582013		Holland, Jr.	04/1986
	4642958		Pewitt	02/1987
	4781523		Aylor	11/1988
	4801811		Assaf et al.	01/1989
	4909135		Greko	03/1990
	4957037		Tubbesing et al.	09/1990
	5155992		Follensbee et al.	10/1992
	5195251		Gyurcsek et al.	03/1993
	5290200		Kiser	03/1994
	5326314		Brockway et al.	07/1994
	5380149		Valsamidis	01/1995
	5391926		Staley et al.	02/1995
	5457346		Blumberg et al.	10/1995
	5551916		Morse, Jr.	09/1996
	5655964		Rheault et al.	08/1997
	5709419		Roskey	01/1998
	5711480		Zepke et al.	01/1998
	5746653		Palmer et al.	05/1998
	5826383		Garrison	10/1998
	5860858		Wettergren	01/1999
	6149516		Mantyla	11/2000
	6239506		Roskey	05/2001
	6319115		Shingaki	11/2001
	6437457		Roskey	08/2002

FOREIGN PATENT DOCUMENTS

Examiner Initials	Document Number	Kind Code (if known)	Country	Date of Publication (MM-DD-YYYY)	Translation Yes	No
	4 002 341		DE	08/1990		
	450 138		FR	03/1913		
	1 195 450		FR	11/1959		
	2 379 709		FR	10/1978		

Examiner
SignatureDate
Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with M.P.E.P. § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



Substitute for forms 1449A/PTO & 1449B/PTO

**FIRST INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

ATTORNEY'S DKT No.
023279-006

APPLICATION No.
10/619,732

APPLICANT
John E. Roskey

FILING DATE
July 14, 2003

GROUP
2834

U.S. PATENT DOCUMENTS

Examiner Initials	Document Number	Kind Code (if known)	Name of Patentee or Applicant of Cited Document	Issue/Publication Date (MM-DD-YYYY)
	3883750		Uzzell, Jr.	05/1975
	3913470		Cullen	10/1975
	3976244		Logsdon	08/1976
	4002023		Hartman	01/1977
	4162410		Amick	07/1979
	4295416		Gorchev et al.	10/1981
	4315415		Wilson	02/1982
	4504192		Byrus et al.	03/1985
	4508973		Payne	04/1985
	4546264		Pinson	10/1985
	4582013		Holland, Jr.	04/1986
	4642958		Pewitt	02/1987
	4781523		Aylor	11/1988
	4801811		Assaf et al.	01/1989
	4909135		Greko	03/1990
	4957037		Tubbesing et al.	09/1990
	5155992		Follensbee et al.	10/1992
	5195251		Gyurcsek et al.	03/1993
	5290200		Kiser	03/1994
	5326314		Brockway et al.	07/1994
	5380149		Valsamidis	01/1995
	5391926		Staley et al.	02/1995
	5457346		Blumberg et al.	10/1995
	5551916		Morse, Jr.	09/1996
	5655964		Rheault et al.	08/1997
	5709419		Roskey	01/1998
	5711480		Zepke et al.	01/1998
	5746653		Palmer et al.	05/1998
	5826383		Garrison	10/1998
	5860858		Wettergren	01/1999
	6149516		Mantyla	11/2000
	6239506		Roskey	05/2001
	6319115		Shingaki	11/2001
	6437457		Roskey	08/2002

FOREIGN PATENT DOCUMENTS

Examiner Initials	Document Number	Kind Code (if known)	Country	Date of Publication (MM-DD-YYYY)	Translation Yes No
	4 002 341		DE	08/1990	
	450 138		FR	03/1913	
	1 195 450		FR	11/1959	
	2 379 709		FR	10/1978	

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

EXAMINER: Initial if reference considered, whether or not citation is in conformance with M.P.E.P. § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. **SEND TO:** Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.